



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Baker et al. Docket No.: 39780-2830P1C58  
Serial No.: 10/015,392 Group Art Unit: 1647  
Filing Date: December 12, 2001 Examiner: Landsman, Robert S.  
For: NUCLEIC ACIDS ENCODING PRO1410 POLYPEPTIDES

EXPRESS MAIL LABEL NO. EL 993 689 298 US

Date Mailed: September 15, 2004

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

**MAIL STOP: AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

(1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
-- OR --

(2) It is being filed within 3 months of entry of a national stage  
-- OR --

(3) It is being filed before the mail date of the first Office Action on the merits  
-- OR --

(4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

09/20/2004 NROCHA1 00000004 081641 10015392

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37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

a certification as specified in §1.97(e) is provided below; **or**

a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$180.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

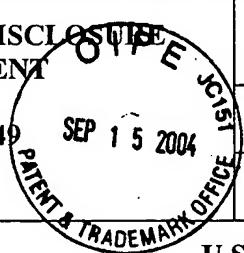
*Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$180.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Attorney's Docket No. 39780-2830P1C58).

Respectfully submitted,

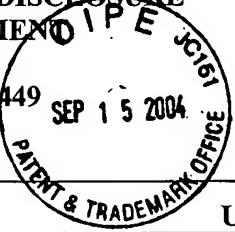
Dated: September 15, 2004

By: Daphne Reddy  
Daphne Reddy, Ph.D. (Reg. No. 53,507)

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| <b>INFORMATION DISCLOSURE STATEMENT</b><br> |  |                 | ATTY. DOCKET NO.:                  |       | SERIAL NO.: |                          |
|--|--|-----------------|------------------------------------|-------|-------------|--------------------------|
|  |  |                 | 39780-2830P1C58                    |       | 10/015,392  |                          |
|  |  |                 | APPLICANT : Kevin P. BAKER, et al. |       |             |                          |
|  |  |                 | FILING DATE: 12/12/2001            |       | GROUP:      |                          |
| <b>U.S. PATENT DOCUMENTS</b>   |  |                 |                                    |       |             |                          |
| EXAMINER'S INITIALS  | PATENT NO.   | DATE            | NAME                               | CLASS | SUBCLASS    | FILING DATE              |
|  |  |                 |                                    |       |             |                          |
|  |  |                 |                                    |       |             |                          |
| <b>FOREIGN PATENT DOCUMENTS</b>  |  |                 |                                    |       |             |                          |
| EXAMINER'S INITIALS  | PATENT NO.   | DATE            | COUNTRY                            | CLASS | SUBCLASS    | TRANSLATION              |
|  |  |                 |                                    |       |             | YES                      |
|  |  |                 |                                    |       |             | <input type="checkbox"/> |
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| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>  |  |                 |                                    |       |             |                          |
|  | Dayhoff, Accession No.: P_AAB50967, WO 200073348-A2, Pub Date: December 7, 2000, Baker, K.P., et al.       |                 |                                    |       |             |                          |
|  | Dayhoff, Accession No.: P_AAY99415, WO 200012708-A2, Pub Date: March 9, 2000, Baker, K., et al.            |                 |                                    |       |             |                          |
|  | Dayhoff, Accession No.: P_AAB01417, WO 200039150-A2, Pub Date: July 6, 2000, Sharp, J.D.                   |                 |                                    |       |             |                          |
|  | Dayhoff, Accession No.: P_AAB33455, WO 200053758-A2, Pub Date: September 14, 2000, Ashkenazi, A.J., et al. |                 |                                    |       |             |                          |
|  | Dayhoff, Accession No.: P_AAB24023, WO 200053750-A1, Pub Date: September 14, 2000, Botstein, D., et al.    |                 |                                    |       |             |                          |
|  | GenBank, Accession No.: P_AAC58620, WO 200053758-A2, Pub Date: September 14, 2000, Ashkenazi, A.J., et al. |                 |                                    |       |             |                          |
|  | GenBank, Accession No.: P_AAA37097, WO 200012708-A2, Pub Date: March 9, 2000, Baker, K., et al.            |                 |                                    |       |             |                          |
|  | GenBank, Accession No.: P_AAC91569, WO 200073348-A2, Pub Date: December 7, 2000, Baker, K.P., et al.       |                 |                                    |       |             |                          |
|  | GenBank, Accession No.: AX055718, WO 0073348-A3, Pub Date: December 7, 2000, Baker, K.P., et al.           |                 |                                    |       |             |                          |
|  | GenBank, Accession No.: P_AAC58105, WO 200053750-A1, Pub Date: September 14, 2000, Botstein, D., et al.    |                 |                                    |       |             |                          |
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|  | GenBank, Accession No.: AP002990, Direct Submission, Submitted: December 1, 2000, Hattori, M., et al.      |                 |                                    |       |             |                          |
|  | GenBank, Accession No.: AC090702, Direct Submission, Submitted: March 8, 2001, Birren, B., et al.          |                 |                                    |       |             |                          |
|  | GenBank, Accession No.: AC090306, Direct Submission, Submitted: February 17, 2001, Birren, B., et al.      |                 |                                    |       |             |                          |
|  | GenBank, Accession No.: AC090232, Direct Submission, Submitted: February 17, 2001, Birren, B., et al.      |                 |                                    |       |             |                          |
| EXAMINER   |  | DATE CONSIDERED |                                    |       |             |                          |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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|  |   |      |                                    |       |             | YES                      | NO                       |
|  |   |      |                                    |       |             | <input type="checkbox"/> | <input type="checkbox"/> |
|  |   |      |                                    |       |             | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>  |   |      |                                    |       |             |                          |                          |
|  | GenBank, Accession No.: AC087681, Direct Submission, Submitted: January 15, 2001, Birren, B., et al.  |      |                                    |       |             |                          |                          |
|  | Hanna, J.S., et al., "HER-2/neu Breast Cancer Predictive Testing", Oathology Associates Medical Laboratores - (1999).   |      |                                    |       |             |                          |                          |
|  | Hyman, E., et al., "Impact of DNA Amplification on Gene Expression Patterns in Breast Cancer <sup>1,2</sup> ", <i>Cancer Research</i> - <u>62</u> :6240-6245 (2002).  |      |                                    |       |             |                          |                          |
|  | Orntoft, T.F., et al., "Genome-wide Study of Gene Copy Numbers, Transcripts, and Protein Levels in Pairs of Non-Invasive and Invasive Human Transitional Cell Carcinomas", <i>Molecular &amp; Cellular Proteomics</i> - <u>1</u> :37-45 (2002). |      |                                    |       |             |                          |                          |
|  | Pollack, J.R., et al., "Microarray Analysis Reveals a Major Direct Role of DNA Copy Number Alteration in the Transcriptional Program of Human Breast Tumors", <i>PNAS</i> - <u>99</u> (20):12963-12968 (2002).                                  |      |                                    |       |             |                          |                          |
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|  | Zhang, Yaou, et al., "Versican Modulates Embryonic Chondrocyte Morphology via the Epidermal Growth Factor-like Motifs in G3", <i>Experimental Cell Research</i> - <u>263</u> :33-42 (2001).   |      |                                    |       |             |                          |                          |
| EXAMINER   |   |      |                                    |       |             |                          |                          |

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